



香港特別行政區金融服務界
「慶祝國慶七十四周年暨
香港特區成立二十六周年」酒會

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季度報告 QUARTERLY REPORT

金融服務界 李惟宏議員
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FUNCTIONAL CONSTITUENCY - FINANCIAL SERVICES



序言

FOREWORD

行政長官李家超2023年《施政報告》已展開公眾諮詢，並於10月25日發表任內第二份《施政報告》；本人積極收集金融業界及團體的意見，並向政府呈交詳盡的意見書，反映業界訴求。在意見書中，本人從(1)提升金融服務業競爭力、(2)配合國策，促進金融市場發展、(3)平衡監管與市場發展、(4)制訂金融安全及長遠發展策略，以及(5)改善經濟民生等五方面提出建議，期望政府積極考慮，重塑香港整體的競爭力，鞏固國家「十四五」規劃中確立香港「八大中心」的定位。

8月底，我獲邀加入由政府成立的促進股票市場流動性專責小組，以全面檢視影響港股流動性的因素；而小組的其他成員包括多位來自金融業界的人士、相關政府官員，以及金融監管機構和港交所的代表。我會積極與小組成員溝通合作，共同為香港建設更蓬勃、更具競爭力和活力的資本市場，向政府出謀獻策。

此外，我很高興聯同11個業界協會和選委於9月21日在香港金融大會堂舉辦金融服務界「慶祝國慶74周年及香港特區回歸26周年」慶典，當中邀請行政長官李家超、多位財金官員、監管機構、立法會議員以及業界代表們歡聚一堂，交流溝通，同為香港金融發展開新篇，感謝多位業界代表踴躍參加。

立法會事務方面，本人對《2023年區議會(修訂)條例草案》表示高度支持，從履職監察機制、區議會組成部分、主席人選等方面肯定了草案的前瞻性和實用性。草案獲得一致通過，落實政府建議的區議會改革。相信此次修例能夠有助提升地區管治效能，全面實現良政善治的方針，促進香港金融服務業長遠的繁榮發展。

除了議會工作之外，我亦參加不少外訪團，令我獲益良多。7月上旬，我跟隨G19議員同事到珠海及橫琴考察，參觀了橫琴粵澳深度合作區的多家創新科技及中醫藥企業，並與當地有關官員會面交流。8月初，我參與由香港中資銀行業協會舉辦的大灣區訪問團，通過拜訪廣東、深圳政府及監管機構，與銀行同業交流，進一步了解大灣區最新的金融發展情況及政策措施。

其後，我亦率團帶領香港證券業協會多位董事及代表考察大灣區，走進深圳、東莞等城市，先後到訪騰訊、華為及比亞迪等公司，了解創科、新能源等產業最新的發展情況；並到訪深交所，以及前海管理局、深圳金融監管機構等，與領導會面交流，協助業界更好地掌握大灣區金融服務業的發展動向和機遇。

展望第四季，我會繼續積極幫助業界向政府及監管機構表達意見，並促請監管機構持續推進上市和市場改革，更希望政府能降低股票印花稅，提振市場流動性；同時，亦期望政府深化「港幣—人民幣雙櫃台模式」，盡早落實將人民幣計價的證券納入南向港股通，推動香港人民幣證券業務發展。

Chief Executive John Lee has launched a public consultation on the 2023 Policy Address and is going to deliver his second Policy Address on October 25th. I have actively collected the views from the financial services sector and organizations, and submitted a detailed submission to the government, reflecting the aspirations of the industry. In my submission, I have put forward proposals in five areas: (1) enhancing the competitiveness of the financial services industry, (2) facilitating the development of the financial market in line with national policy, (3) striving a balance between regulation and market development, (4) formulating a strategy for financial safety and long-term development, and (5) improving people's livelihoods. I sincerely hope the government considers these proposals to reinforce the overall competitiveness of Hong Kong and develop Hong Kong into the "eight centers" under China's 14th Five-Year Plan.

At the end of August, I was invited to join the Task Force on Enhancing Stock Market Liquidity set up by SAR government to conduct a comprehensive review of relevant factors affecting stock market liquidity. The Task Force composed of a number of financial professionals, government officials, and representatives of financial regulators and the HKEX. I will actively exchange views with members of the Task Force to offer advice to the government on how to build a more vibrant, competitive, and dynamic capital market in Hong Kong.

I was honored to partner with 11 industry associations and election committee members in organizing the Financial Services Sector "Celebration of the 74th Anniversary of the Founding of the People's Republic of China and 26th anniversary of the establishment of the HKSAR" ceremony at HKEX Connect Hall on 21 September, which Chief Executive Mr. John Lee and a number of financial government officials, regulatory authorities, LegCo members, and industry representatives were invited to attend and exchange views, marking a new chapter for the development of the financial services industry in Hong Kong. I am deeply honored to be a representative of the industry at the ceremony.

Regarding the Legislative Council, I strongly supported the "Bills Committee on District Councils (Amendment) Bill 2023". The Bill was passed unanimously to implement the district councils reform proposed by the government. It is believed that the amendments will help enhance the effectiveness of regional governance, and promote good governance and long-term prosperity of Hong Kong's financial services industry.

Apart from my work in LegCo, I have also participated in several rewarding delegations. In early July, I participated in the delegation to Zhuhai and Hengqin with G19 LegCo members and visited a number of innovation and technology enterprises and traditional Chinese medicine enterprises in the Guangdong-Macao In-Depth Cooperation Zone in Hengqin, I also met with officials in the zone. In early August, I took part in the delegation to the Greater Bay Area organized by the Chinese Banking Association of Hong Kong to visit Guangdong, Shenzhen government officials, and regulatory bodies, and exchanged views with the local banking industry to gain a better understanding of the latest financial developments and policy initiatives in the Greater Bay Area.

I also led a delegation visit to Shenzhen, Dongguan, and other GBA cities with directors and representatives of the Hong Kong Securities Association, and visited companies such as Tencent, Huawei, and BYD to learn more about the latest innovation and technological developments and new energy industries. I also met with officials of the Shenzhen Stock Exchange, Qianhai Authority, and the Shenzhen Financial Regulatory Commission to assist the industry to better understand the latest development trends and opportunities of the financial services industry in the Greater Bay Area.

Looking ahead to the fourth quarter, I will continue to actively assist the industry in expressing opinions to the government and regulatory authorities. I hope the regulatory authorities will continue working to promote listing and market reform. I also hope that the government can lower stamp duty, boost market liquidity, further promote the "HKD-RMB dual counter model", and implement the inclusion of RMB-denominated securities in Southbound Hong Kong Stock Connect to promote the development of RMB securities in Hong Kong.

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2023年第三季度出席率及參與情況 ATTENDANCE AND PARTICIPATION IN 2023 Q3



立法會大會 Council Meetings

100%

對《2023年區議會(修訂)條例草案》表達高度支持，從履職監察機制、區議會組成部分、主席人選等方面肯定了草案的前瞻性和實用性。

I strongly supported the "Bills Committee on District Councils (Amendment) Bill 2023" for its forward-thinking and practical aspects concerning oversight mechanisms, the composition of district councils, and the selection of chairpersons.



環境事務委員會 Panel on Environmental Affairs

100%

就「實施都市固體廢物收費」議題，詢問當局推廣指定袋和指定標籤的零售網絡設置情況。

I inquired about the status of the establishment of retail networks for designated bags and labels in relation to charging for municipal solid waste.



財務委員會 Finance Committee

100%

為應付受內地颱風「杜蘇芮」所引發的水災影響提供緊急的救援撥款表示支持，並詢問不同機構受助的明細及平衡性等問題。

I supported the allocation of emergency relief funds to address the flood-related impacts of Typhoon Doksuri in the mainland, and also inquired about the specific assistance provided to different organizations and overall balance.



財經事務委員會 Panel on Financial Affairs

100%

擔任副主席

Serving as the Deputy Chairman

發言支持引入公司遷冊制度，並就積極吸引海外企業方面，向當局查詢市場規管與公司資料披露的平衡程度及相關經濟誘因。

I spoke in support of the introduction of the company re-domiciliation regime and inquired about the balance between market regulation and company information disclosure in the context of attracting overseas corporations.



內務委員會 House Committee

100%



《2023年香港中文大學(修訂)條例草案》委員會 Bills Committee on The Chinese University of Hong Kong (Amendment) Bill 2023

100%



工商及創新科技事務委員會 Panel on Commerce, Industry, Innovation and Technology

100%

對「灣仔北重建項目：重置港灣消防局」項目表示支持，並就中環附近行人通道使用體驗及新舊選址的能效一致性提問。

I supported the "Wan Chai North Redevelopment: Reprovisioning of Kong Wan Fire Station" project and raised questions regarding the user experience of pedestrian pathways near Central and the efficacy of site selection.

詢問條例修訂是否在程序上有改善空間。

I inquired whether there is room for improvement in the procedures for amending the relevant legislation.

建議將草案條例中提及的“Graduate School”一詞，中文統一表述為「研究生院」以確保陳述準確性。

I suggested considering changes to seats, thresholds, and related amendments in the bill. I also recommended unifying the term "Graduate School" in the bill to be expressed as "研究生院" in Chinese, ensuring the accuracy of the bill's statement.

就《施政報告》建言獻策 RECOMMENDATIONS ON THE POLICY ADDRESS

7月起

Starting from July

- 參與不同組織座談，如主持召開香港中華總商會工商及社會事務委員會相關座談

I have been participating in meetings held by various organizations, such as hosting the Policy Address meeting with the Commerce, Industry, and Social Affairs Committee of the Chinese General Chamber of Commerce.

- 定期與協會開會、會見業界代表等，以收集對新一份施政報告的意見

I regularly convene meetings with industry associations, meet with industry representatives, and engage in dialogue to gather feedback on the forthcoming Policy Address.

綜合整理市民和相關持份者意見後，參加與政府當局舉行、不同主題的諮詢會議：

After consolidating input from the public and relevant stakeholders, I attended consultation sessions and forums organized by the authorities on different themes:



李惟宏議員

提升國際金融中心競爭力 ENHANCING THE COMPETITIVENESS OF THE INTERNATIONAL FINANCIAL CENTRE

建議包括：調低股票印花稅、引入金融科技等

Suggestions include reducing stamp duty on securities and introducing financial technology, etc.

培育和吸引人才 NURTURING AND ATTRACTING TALENT

建議包括：增加配套設施、提供稅務優惠、提供培訓機會等

Suggestions include enhancing supporting facilities, offering tax incentives, and providing training opportunities, etc.

提升青年向上流動 PROMOTING UPWARD MOBILITY FOR YOUTH

建議包括：促進多元出路發展、協助規劃職業導向等

Suggestions include facilitating diverse career development pathways and assisting with career planning, etc.

8月18日

August 18th



拜訪特首李家超，就《行政長官2023年施政報告》表達意見。

I met with Chief Executive Mr John Lee to express opinions regarding the 2023 Policy Address.

從刺激經濟及激活資本市場、積極配合國策、平衡市場監管與提速發展、制訂金融安全及長遠發展策略四方面提交金融服務業界意見及建議書，期望特區政府積極考慮，重塑香港整體的競爭力，鞏固國家「十四五」規劃中確立香港「八大中心」的定位。

I proposed suggestions for four key areas, including stimulating economic growth and revitalizing capital markets, aligning with national policies, striking a balance between market regulation and accelerating development, and formulating strategies for financial security and long-term development. I hoped the authorities would consider these recommendations to reshape Hong Kong's overall competitiveness and promote the "eight centers" of Hong Kong as outlined in the 14th Five-Year Plan.

9月1日

September 1st

再次去信特首辦，運用數據及實例，期望特區政府積極接納業界建議，重塑及提升香港整體競爭力。

於同月收到特首辦回信，表示將適當考慮有關意見。

I wrote once again to the Chief Executive's Office, utilizing data and actual examples, in the hope that the authorities would actively adopt industry recommendations to enhance Hong Kong's overall competitiveness.

In the same month, I received a response from the Chief Executive's Office, indicating their intent to duly consider the feedback.



新一份施政報告將於2023年10月25日發表，我將不斷跟進政策的最新發展情況。

The new Policy Address is scheduled for release on October 25th 2023, and I will continue to closely monitor the latest policy developments.

1. 促進股票市場流動性

Enhancing Stock Market Liquidity

作為國際金融中心，香港的股票市場既深且廣，是眾多內地和國際企業的首選上市平台。自擔任議員以來，我曾多次建議政府檢視現有印花稅政策，以激活經濟市場、刺激股票交投。

Hong Kong, as an international financial center, boasts a deep and extensive stock market and serves as the primary listing platform for numerous mainland and overseas companies. Since taking office as a LegCo Member, I have repeatedly recommended that the government to review the existing stamp duty policy to stimulate economic markets and boost stock trading.

8月25日，我提出政府可考慮暫時豁免或調低股票印花稅，下調幅度亦不應低於以往水平。

On August 25th, I recommended that the government consider temporarily exempting or reducing the stamp duty on securities, with the reduction rate not falling below previous levels.



*在香港電台節目《千禧年代》表示，作為短期措施，建議考慮取消股票印花稅半年至一年，可給予市場正面訊息。中長線而言，減股票印花稅愈多愈好。

*During a segment on the RTHK Radio 1 "Talkabout" program, I proposed that as a short-term measure, the consideration of eliminating the stamp duty of securities for six months to one year could send a positive message to the market. As for medium- to long-term measures, the more stock stamp tax is reduced, the better.

8月29日，我加入政府新成立的促進股票市場流動性專責小組並參加相關會議，以全面檢視影響市場流動性的內外主要因素，包括上市制度、市場結構、交易機制等方面；小組亦會就提升香港股票市場的競爭力、促進其可持續發展，以及如何向重點市場的發行人和投資者推介本港股票市場等事宜，提出具體建議。

On August 29th, I joined the newly established government Task Force on Enhancing Stock Market Liquidity. The Task Force will comprehensively review factors affecting stock market liquidity, including the listing regime, market structure, and trading mechanism. The Task Force will also propose specific recommendations on matters such as strengthening the competitiveness and sustainable development of Hong Kong's stock market, and promoting it to issuers and investors in strategic markets.

2. 全新IPO結算平台FINI

New IPO Settlement Platform FINI

香港交易所宣佈，全新的數碼化首次公開招股結算平台FINI將於11月22日正式啟用。現行負責處理新股結算的中央結算系統於11月21日營業時間結束後，將不再啟動新的新股上市項目；而11月22日或以後刊發招股書的新股上市招股及結算流程將透過FINI處理。

The HKEX announced the launch date for FINI, Hong Kong's new digitalized IPO settlement platform, on 22 November 2023. The existing IPO settlement platform using CCASS will no longer be used to initiate IPOs following market close on 21 November. All new listings whose prospectus is published on or after 22 November will be processed on the FINI platform.

FINI是香港交易所的重要倡議，將新股從定價至股份開始交易的時間由五個營業日大幅縮短至兩個營業日。期待FINI可幫助實現香港IPO結算流程的現代化，為數碼化的未來奠定良好基礎。

FINI is a major new initiative that will significantly shorten the time between the pricing of an IPO and the trading of shares from five business days to two business days. I hoped that FINI would facilitate the modernization of Hong Kong's IPO settlement process, driving digitalization securities development.



3. 倡議三隧收入證券化

Proposal on Securitization of the Revenue from Three Harbor Crossings

我於今年2月的《財政預算案》建議書、4月的《2023年行車隧道(政府)(修訂)條例草案》委員會會議、及6月的立法會大會中，均提及「三隧收入證券化」安排，建議政府整合三條過海隧道的現金流收入，並透過證券化方式發債融資，推動金融服務市場多元化發展。

Throughout the year I have raised the proposal of "securitizing revenue from the three harbor crossings" in various instances, including in the submission of the Budget Proposal in February, discussions during the April Committee Meeting on the "Road Tunnels (Government) (Amendment) Bill 2023," and deliberations in June Council meetings. I recommended that the government consolidate the cash flow revenues from the three harbor crossings and seek financing through securitization, thereby promoting the diversification of the financial services market.

7月25日，我去信財政司，再次就上述觀點表達建議，希望多元化資本和債券市場，進一步鞏固香港國際金融中心地位。

On July 25th, I wrote to the Financial Secretary **once again** expressing my recommendations regarding the above-mentioned opinions. I hoped the diversification of local capital and bond markets would further consolidate Hong Kong's status as an international financial centre.



4. 歡迎優化「跨境理財通」

Welcoming enhancements to Cross-boundary Wealth Management Connect

9月28日，內地和港澳金融管理部門發出聯合公告，宣布進一步優化粵港澳大灣區「跨境理財通」業務試點，將循五大方向優化「跨境理財通」，包括優化投資者准入條件，支持更多大灣區居民參與試點；擴大參與機構範圍，新增符合要求的證券公司作為參與主體；擴大合格投資產品範圍；適當提高個人投資者額度；以及進一步優化宣傳銷售安排。下一步，三地相關部門將完善實施細則及業務指引，推動各項舉措盡早實施。

On September 28th, the financial authorities of the mainland, Hong Kong, and Macao issued a joint announcement outlining their decision to enhance the Guangdong-Hong Kong-Macao Greater Bay Area Cross-boundary Wealth Management Connect Pilot Scheme (Wealth Management Connect) to include the following measures:

- Refining the eligibility criteria of investors to allow more GBA residents to participate in the scheme;
- Expanding the scope of participating institutions to include eligible securities firms;
- Broadening the scope of eligible investment products;
- Appropriately increasing the individual investor quota; and
- Further enhancing promotional and sales arrangements.

The financial regulatory authorities in the mainland, Hong Kong, and Macao will initiate refinements and operational guidance necessary to promptly put these measures into effect.

我歡迎有關安排。業界已爭取多年，今次公布屬正面消息，相信稍後公布的實施細則會列出對券商規模及資產等要求；相信部分規模較大型的券商在細則公布後可即時參與，至於中小型證券行，就可透過合併或合作等方式參與。

I highly welcomed these arrangements, which the industry has diligently advocated for over several years. This recent announcement is positive news, and we anticipate that the forthcoming implementation details will outline requirements related to the scale and assets of brokerage firms. We believe that following the release of these details, some larger-scale brokerage firms will be able to participate immediately, while smaller and medium-sized securities firms may explore avenues such as mergers or collaborations to become involved in the scheme.

5. 政府當局提供議案進度報告

The Authorities Provide Progress Report on Motions

今年5月，由我在立法會會議上動議的「全面推動產業升級轉型，促進金融、經濟多元發展」議案，經吳傑莊議員修正後獲得通過。

In May 2023, the motion on "Comprehensively promoting the upgrade and transformation of industries to foster the diversification of financial and economic development," originally presented by me during the Council meeting, was passed following amendments made by Dr Hon Johnny Ng.

7月，政府當局回應了我在上述辯論中所提意見，並從財富管理、離岸人民幣業務、資本市場互聯互通、綠色和可持續金融等方面提供進度報告。

In July, the authorities responded to the recommendations I proposed during the aforementioned debate and provided a progress report covering various aspects, including wealth management, offshore RMB business, connectivity with the mainland's capital markets, green finance, and sustainable finance.

報告中文版：



English version:



6. 港交所就改革GEM進行諮詢

The HKEX Published a Consultation Paper on GEM Listing Reforms

9月，港交所發佈GEM上市改革建議的諮詢文件，主要建議包括恢復2018年改革所放棄的轉主板上市機制，讓合資格的GEM發行人轉往主板時毋須委任保薦人進行盡職審查、刊發達到招股章程標準的上市文件。另外，港交所建議取消GEM強制季度匯報規定。

諮詢日期至2023年11月6日結束，為期6星期，預計最快2024年初生效。我在此呼籲各位業界人士踴躍向港交所、或本人議員辦事處提出諮詢意見。

In September, the HKEX published a consultation paper seeking market feedback on GEM listing reforms. The proposals presented include a new streamlined transfer mechanism to enable qualified GEM issuers to transfer to the Main Board without the need to appoint a sponsor to carry out due diligence or produce a "prospectus-standard" listing document, and the removal of mandatory quarterly reporting requirements.

The six-week consultation period is set to conclude on 6 November 2023, with the reforms potentially coming into effect as early as the beginning of 2024. I urged all industry professionals to actively submit their consultation feedback to the HKEX or my office.



報告中文版：



English version:



7. 支持區議會改革方案

Supporting the Proposal for District Council Reform

7月6日，立法會以88票支持、0票反對一致通過《2023年區議會(修訂)條例草案》，新一屆470名區議員將在年底依新條例產生，分別由直選、委任以及三個地區委員會互選所組成。新制下區議會主席，亦改由18區民政專員出任。

On 6 July the District Councils (Amendment) Bill 2023 was unanimously passed by 88 LegCo Members during the Legislative Council meeting. Under the new system, district councils will have a total of 470 seats, consisting of 179 appointed seats, 176 seats elected through mutual agreement among district committees, and 88 directly elected seats. Furthermore, district council chairpersons will now be appointed from among the 18 District Officers.

我在會議上對草案表達高度支持，相信此次修例能夠有利提升地區管治效能，全面實現良政善治的方針，進而促進香港金融服務業長遠的繁榮發展。

I expressed strong support for this bill during the meeting, believing that these amendments would contribute to the enhanced efficiency of local governance and the realization of good governance, further promoting the long-term prosperity and development of the financial services industry in Hong Kong.

惡劣天氣交易安排

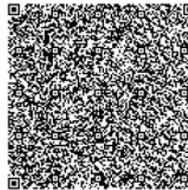
Trading Hours & Severe Weather Arrangements

7月30日 有線新聞

市場關注港交所提出的「打風照開市」能否落實，我認為值得考慮，但前提是要有完善的結算交收機制配合。

30 July, i-CABLE News

The market's attention has turned to the feasibility of the HKEX's proposal for "trading during typhoons". While I believed this idea warrants consideration, it is crucial to ensure the introduction of a comprehensive settlement and delivery mechanism to support such arrangements.



8月25日 星島日報

政府正研究在惡劣天氣下「照開市」，我認為先要解決業界在實行過程中遇到的問題，才可以推行相關政策；同時在銀行、法例及監管方面都要有相應配合。

25 August, Sing Tao Daily

The government is actively exploring the possibility of "trading during adverse weather conditions". In my opinion it is essential to address industry-related challenges encountered during the implementation process before introducing any related policies. Moreover, a collaborative effort is needed from the banking sector, legal framework, and regulatory bodies to make this a reality.



8月30日 新城電台

港交所研究在惡劣天氣時仍然照常開市，我指出政府需多聆聽業內困難，以及在市場發展與監管平衡。

The Hong Kong Stock Exchange is studying how to keep the market open during bad weather. I pointed out that the government needs to listen more to the difficulties in the industry and balance market development and supervision.



10月6日 明報

就港府加快推動惡劣天氣開市相關傳聞，我表示仍有技術問題要解決，倡用科技幫助入票交收。

6 October, Ming Pao

Regarding the recent rumors concerning the authorities push for "trading during adverse weather conditions", I want to emphasize that there are still some technical issues for which I encourage the industry to employ advanced technology to facilitate settlement and delivery procedures.



JPEX事件

JPEX Scandal

9月20日 文匯報

我表示警方就JPEX事件進行的多次拘捕行動，有助掃除本港發展虛擬資產市場的障礙。市民將更着重光顧持牌交易商，並且藉此踢走一眾掛着虛擬貨幣交易旗號、實質進行行騙的不良商號。

20 September, Wen Wei Po

I believed the multiple arrests made by the police in the JPEX case are crucial in clearing the path for the development of Hong Kong's virtual asset market. This will encourage citizens to prioritize licensed trading firms, ultimately weeding out bad actors who misuse the banner of virtual currency trading to engage in fraudulent activities.



9月21日 東方日報

就市場有聲音指應取消虛擬資產交易平台發牌過渡期。我表示，設立過渡期是經過市場長時間討論及充分諮詢後作出，不應倉卒在現行機制作決定，未來應加強投資者教育。

21 September, Oriental Daily

In response to market demands for the cancellation of the transitional period for licensing virtual asset trading platforms, I underlined that this transitional period was established after extended discussions and thorough consultations within the market. Rushing decisions under the current mechanism is ill-advised. Instead, we should focus on bolstering investor education in the future.



9月26日 Now TV

就證監會將公布虛擬資產交易平台申請者名單有關舉措，接受《時事全方位》及《The Weekly ReViu》節目訪問，並再次呼籲投資者應在持牌、受規管的機構進行投資。若有疑問或理財需要，應向可靠的持牌人士徵詢專業投資意見。

26 September, Now TV

I addressed the upcoming release of the "List of virtual asset trading platforms" by the SFC during interviews with "Now TV" and "The Weekly ReViu". I once again urged investors to conduct their transactions through licensed and regulated institutions. In cases of doubt or financial inquiries, investors should seek professional investment advice from reputable licensed experts.



金融服務業界其他關注問題

Other Concerns in the Financial Services Industry

接受China Daily訪問，談及創業板改革及對上市的影響。

During an interview with China Daily, I discussed the details and impact of GEM reforms on listings.

• Home / China / GBA focus



'Carrot and stick' reform in the pipeline for SMEs

在大公報發表評論文章《市場亟需改革 助金融業持續發展》，提及到業界應在「升級轉型」和「多元化發展」的道路上努力邁進；同時再次呼籲降低印花稅、積極推進上市改革、優化監管制度等倡議。

In my commentary "Urgent Need for Market Reform to Support Continuous Financial Industry Growth" published in Ta Kung Pao, I emphasized the industry's need for "upgrading and transformation" and "diversified development." I also reiterated measures such as reducing stamp duty, actively advancing listing reforms, and optimizing regulatory frameworks.



高文宏議/市場亟需改革 助金融業持續發展\香港
立法會議員 李惟宏

時間: 2023-07-27 04:03:04 來源: 大公報

香港政府早前發表《有關香港發展家族辦公室業務的政策宣言》，部分政策開始逐步落實。接受《家族辦公室》雜誌的訪問，探討吸引人才、股市表現、區位優勢等話題。

The government recently released the "Policy Statement on Developing Family Office Businesses in Hong Kong," with some policies gradually being put into effect. In an interview with Family Office magazine, I explored topics such as talent attraction, stock market performance, and location advantages.



接受香港中華總商會《商薈》雜誌訪問，就香港版環境、社會及管治(ESG)的投資準則、香港金融界發展ESG等問題提供意見。

In an interview with "CGCC Vision", I provided insights on investment guidelines related to Hong Kong's version of Environmental, Social, and Governance (ESG) standards and the development of ESG in Hong Kong's financial sector.

接受點新聞節目訪問，討論金融科技、人民幣國際化、家族辦公室發展等議題，並呼籲推動香港資本市場的多元化發展和擴大金融服務範圍。

During an interview with Dot Dot News, I discussed topics such as financial technology, internationalization of the Renminbi, and the development of family offices. I also encourage the authorities and the industry to foster diversified growth in Hong Kong's capital markets and expand the scope of our financial service offerings.



立足業界 積極發聲出力

Actively Voicing Industry Feedback



參與香港中國金融協會「卓越跨境金融服務大獎」首屆頒獎典禮，並作為主禮嘉賓為獲獎者頒獎。

Participated and served as the officiating guest in the first Outstanding Cross-boundary Financial Services Awards Ceremony of the **Chinese Financial Association of Hong Kong** to present awards.



分別出席由香港中資證券業協會、證券商協會及香港證券及期貨專業總會籌辦的金融服務界協會領導午餐會，討論業界關心議題。

Attended the leadership luncheon for financial service associations organized by the **Chinese Securities Association of Hong Kong**, the **Institute of Securities Dealers**, and the **Hong Kong Securities and Futures Professional Association** to discuss issues of industry concern.



為香港中資證券業協會主辦的「第五屆香港投行盃」籃球聯賽擔任主禮嘉賓。

Served as the officiating guest in the 5th Hong Kong Investment Banking Cup basketball league organized by the **Chinese Securities Association of Hong Kong**.



與香港證券業協會遴選會員互動交流聯誼。

Exchanged and connected with selected members of the **Hong Kong Securities Association**.



參與香港股票分析師協會「香港如何成為亞洲SPAC中心」集思研討會並發言。

Participated and shared in the “How Can Hong Kong Become the SPAC Centre of Asia?” seminar organized by the **Hong Kong Institute of Financial Analysts & Professional Commentators**.

立足業界 積極發聲出力

Actively Voicing Industry Feedback



參與金銀業貿易場主辦羽毛球混雙錦標賽及乒乓球男子單打錦標賽開幕式。

Participated in the opening ceremony of the Badminton Mixed Doubles Championship and Table Tennis Men's Singles Championship organized by the Chinese Gold and Silver Exchange.



出席香港證券及投資學會「香港監管圓桌午餐」。

Attended the Hong Kong Regulatory Roundtable Luncheon organized by the Hong Kong Securities and Investment Institute.



參與香港會計師公會慶祝成立五十周年的CPA論壇。

Participated in the CPA Congress held by the Hong Kong Institute of Certified Public Accountants as part of the HKICPA 50th anniversary celebration.



為香港金融發展局拍攝視頻，分享對人民幣國際化、資本市場最新發展看法。

Recorded a video for the Hong Kong Financial Services Development Council to share views on the latest developments for RMB internationalization and capital markets.



參與香港交易所舉行的H股上市30周年慶祝活動。

Participated in the 30th anniversary celebration of the listing of H shares held by the HKEX.



出席香港中資銀行業協會慶祝中華人民共和國成立74周年國慶酒會。

Attended the National Day reception held by the Chinese Banking Association of Hong Kong to celebrate the 74th Anniversary of the Founding of the People's Republic of China.

立足業界 積極發聲出力

Actively Voicing Industry Feedback



為香港商報、香港經濟導報等聯合主辦的「專業金融機構服務大獎 2023」典禮擔任頒獎嘉賓，並分享家族辦公室發展情況。

Served as the guest of honor at the **2023 Professional Financial Institution Services Awards** jointly organized by **Hong Kong Commercial Daily** and the **Economic Information and Agency**, and shared the latest updates on family office development in Hong Kong.



出席信報未來香港高峰論壇活動，並為信報「金融服務卓越大獎2023」活動擔任嘉賓，向優秀企業頒獎。

Attended the **HKEJ's 50th Anniversary Summit: Navigating the Future of Hong Kong**, and served as the guest of honor to present awards to outstanding companies in the **Financial Services Awards of Excellence 2023**.



為環境、社會及企業管治成就大獎優勝者頒獎，促進ESG實踐和教育。

Presented awards to the winners of the **Environmental, Social, and Corporate Governance Achievement Awards** to promote ESG practice and education.



參與數碼港主辦的年度數碼娛樂盛事「2023數碼娛樂領袖論壇」，與科創、金融界交流。

Participated in the **Digital Entertainment Leadership Forum 2023** organized by **Cyberport** to exchange views with technology start-ups and the financial community.



出席香港貴金屬同業協會晚宴，與業界交流。

Attended the dinner banquet of the **Hong Kong Precious Metals Traders Association** dinner to exchange views with the industry.



與林新強、譚岳衡、黃俊碩、吳傑莊議員，同香港中資證券業協會黃海琴主席及八家會員單位代表舉行座談會，了解新股上市集資實際操作中所遇到的難題。

Organized a seminar with **Hon Ambrose Lam**, **Dr Hon Tan Yueheng**, **Hon Edmund Wong**, **Dr Hon Johnny Ng**, **Ms Huang Haiqin**, the Chairman of the **Chinese Securities Association of Hong Kong**, and eight member representatives to discuss the difficulties encountered in the actual operation of fund raising through **IPO listings**.

對外交流 學習借鑒經驗

Exchanges and Experiences Sharing

與G19議員同事們考察珠海及橫琴，參觀橫琴粵澳深度合作區的多家創新科技及中醫藥企業、產業園、研究院及公司，並分別與橫琴粵澳深度合作區執行委員會主任兼澳門經濟財政司司長李偉農，以及珠海市委常委兼常務副市長楊川會面交流。

希望藉此行深入了解兩地最新發展，促進香港與珠海及橫琴之間的合作，探討如何加緊善用港珠澳大橋，推動三地經濟進一步發展，深化粵港澳大灣區規劃建設。

Participated in the delegation to **Zhuhai and Hengqin** with G19 LegCo members, and visited a number of innovative technology and traditional Chinese medicine enterprises, industrial parks, and R&D institutes at the Guangdong-Macao In-Depth Cooperation Zone in Hengqin. The delegation met with Mr Lei Wai Nong, Director of the Executive Committee for the Guangdong-Macao In-Depth Cooperation Zone in Hengqin cum-Secretary for Economy and Finance of Macao, and Mr Yang Chuan, Member of the Standing Committee and Executive Vice Mayor of Zhuhai City.

The purpose of this event was to gain an in-depth understanding of the latest developments in both regions and facilitate cooperation between Hong Kong, Zhuhai, and Hengqin. We also hoped to explore how to make better use of the Hong Kong-Zhuhai-Macao Bridge to promote further economic development in the three areas as well as deepen planning and construction within the Guangdong-Hong Kong-Macao Greater Bay Area.



應香港中資銀行業協會邀請參與大灣區訪問團，與中聯辦、財庫局、金管局、本地中資銀行領導等政商高層到訪深圳、廣州及東莞等地，拜會廣東省市及深圳市政府領導、金融監管與銀行同業高管。

希望通過日漸頻密的交流，大灣區各城市可持續深化友好合作關係，進一步拓展深港金融合作的深度與廣度，推動金融業高質量發展，賦能實體經濟，攜手打造國際一流灣區和世界級城市群，服務構建新發展格局。

Upon invitation from the Chinese Banking Association of Hong Kong, I participated in the Greater Bay Area delegation to visit **Shenzhen, Guangzhou, Dongguan** with senior executives and officials from the LOCPG, the Financial Services and Treasury Bureau, the Hong Kong Monetary Authority, and local Chinese banks. The delegation visited government leaders, financial regulators, and executives of the banking industry from Guangdong province and Shenzhen City.

It was hoped that GBA cities can continue to deepen their cooperative relations by further expanding the depth and breadth of Shenzhen-Hong Kong financial cooperation, promote high-quality development within the financial industry, and empower the real economy, partnering to jointly create a first-class bay area and world-level urban agglomeration as a new development pattern.



對外交流 學習借鑒經驗

Exchanges and Experiences Sharing

率團帶領香港證券業協會多位董事及代表考察大灣區，走進深圳、東莞等粵港澳大灣區內地城市，參觀騰訊、華為、比亞迪等企業，並拜訪前海管理局、深圳市地方金融監督管理局、深圳證券交易所等機構，與官員會面交流。

本次職務訪問成果豐碩，相信有助證券業界了解大灣區高質量產業的最新動向，及大灣區金融服務業的協同發展機會。大灣區企業及機構在科創等許多產業方面擁有寶貴經驗，值得香港學習和借鑒。我期待再次與各組織交流，不斷擴大、加深大灣區城市在不同領域的聯繫和合作。

I led the delegation to visit Shenzhen, Dongguan, and other GBA cities with directors and representatives from the Hong Kong Securities Association. The delegation visited enterprises such as **Tencent, Huawei, and BYD**, and met with officials of the **Qianhai Administration Bureau, the Financial Supervision and Administration Bureau of Shenzhen City, and Shenzhen Stock Exchange** to exchange views on latest business development.

I achieved fruitful results during this visit and it is believed it will help the securities industry to appreciate the latest trends among high-performing industries in the Greater Bay Area and collaborative development opportunities of the financial services industry in the GBA. Enterprises and institutions in the Greater Bay Area possess many valuable experiences, such as innovative technology, which can serve as a reference for Hong Kong businesses. I look forward to exchanging views with various organizations as we continue to expand and deepen connections and cooperation across various fields in GBA cities.



作為立法會經濟發展事務委員會的委員，受邀參觀香港國際機場，了解機場運作。

As a member of the Panel on Economic Development, I was invited to visit Hong Kong International Airport.



對外交流 學習借鑒經驗

Exchanges and Experiences Sharing

接待香港寧波同鄉會、上海總會會員參觀立法會，使公眾更加了解立法會及我的日常工作。

I welcomed members of the **Ningbo Residents Association and Shanghai Fraternity Association Hong Kong** to visit the Legislative Council to help the public better understand the functions of the Legislative Council and my daily work.



為香港寧波同鄉會理監事鄉長及會員舉行專題講座，介紹香港立法會及金融服務業升級轉型、多元發展情況。

I held special talks for the board of directors and members of the **Ningbo Residents Association** to introduce the functions of the Legislative Council, and the upgrading, transformation, and diversified development of the financial services industry.



接待來自新加坡、越南等地，訪問金銀業貿易場的貴金屬和珠寶行業代表們，參與團體包括新加坡貴金屬市場協會、世界黃金協會等。

I welcomed representatives from the precious metals and jewellery industry from **Singapore and Vietnam**, including the Singapore Bullion Market Association and World Gold Council, on their visit to the Chinese Gold and Silver Exchange.



與滬港青年會李薇娣主席等主要成員會面交流，聽取會務最新發展情況，並討論兩地青年合作下相關事宜。

I exchanged views with Chairman Kristel Lee and other key members of the **Hong Kong-Shanghai Youth Association** to learn about the latest development of the association and discussed youth cooperation across the two regions.



胸懷大局 推動良政善治

Promoting Sound Governance and Embracing National Development

出席慶祝中華人民共和國香港特別行政區成立二十六周年升旗儀式暨慶祝酒會。

I attended the flag-raising ceremony and reception in celebration of the 26th anniversary of the establishment of the HKSAR.



與國家發展和改革委員會徐建平司長率領的代表團、財庫局等，就共建「一帶一路」等，分享經驗。

Exchanged views and experiences with the delegation led by Director Xu Jian-ping of the **National Development and Reform Commission and the Financial Services and the Treasury Bureau** related to the Belt and Road Initiative.



與到訪立法會的中聯辦鄭雁雄主任共進午餐，並聆聽講話。

Had lunch and listened to Mr Zheng Yan-xiong, Director of the **Liaison Office of the Central People's Government in the HKSAR**, who paid visit to the Legislative Council.



拜會浙江省舟山市委常委、统战部部長王高瞻，在促進浙港交流、商貿人員交流等方面交換意見。

Met with Mr Wang Gaozhan, Member of the Standing Committee of Zhejiang Province and Minister of the United Front Work Department, to exchange views on how to promote interaction between Zhejiang and Hong Kong as well as business and trade between both regions.



地區參與 關注青年民生

Visiting Communities, Caring for Youth and People's Livelihoods

作為當值議員，聽取「獨居長者權益關注組」有關建議改善對獨居長者的支援服務事宜；並前往大窩口邨探訪獨居長者，並前往長者地區中心進行實地視察，以了解獨居長者所獲提供的支援服務。

As a duty roster member, I listened to suggestions from the Concern Group for the Rights and Interests of Elderly Singletons on improving support services for elderly people living alone. I also paid a visit with elderly singletons living in Tai Wo Hau Estate as well as a district elderly centre to learn more about the current support services provided for elderly residents.



與G19議員同事舉行記者會，就促進二手居屋交易作出政策倡議，並從政策、金融數據、樓宇結構安全等角度向公眾詳細解畫。

I held a press conference with G19 LegCo members to provide policy suggestions on promoting second-hand home ownership transactions, and explained the plan in detail from policy, financial data, and building safety perspectives.



與金銀業貿易場合辦精神健康講座慈善活動，為基層、少數族裔家庭送上風扇、冰巾等消暑物品；並出席金銀業貿易場「啟Duck月滿國慶樂續FUN」慈善跳繩挑戰日記者會。

Jointly organised a charity event on mental health with the Chinese Gold and Silver Exchange to distribute fans, cooling towels, and other cooling items to low-income and ethnic minority families, and attended the press conference of the charity rope skipping challenge "Glowing B.Duck Moon Fun for National Day".



參與香港航空青年團名為「凝聚」的暑期活動，並與青少年交流。

Participated in the summer holiday activity held by the Hong Kong Air Cadet Corps Aviation and exchanged views with young people.



共賀國慶

Celebrating National Day

在中華人民共和國成立74周年暨香港特區成立26周年之際，我非常榮幸能夠聯同業界選委及十一個主要協會，於香港交易所香港金融大會堂舉行國慶酒會。酒會有逾300位業界友好出席，並邀請到行政長官李家超先生、署理財政司司長黃偉綸先生，以及多位立法會議員和金融服務界人士擔任主禮嘉賓。

On the special occasion of the 74th Anniversary of the Founding of the People's Republic of China and the 26th anniversary of the establishment of the HKSAR, I was honored to hold the National Day Reception at HKEX Connect Hall with election committee members of the financial services industry and 11 major associations. Mr. John Lee, Chief Executive, Mr. Michael Wong, Acting Financial Secretary, members of the LegCo, and people from the financial services industry were invited to serve as officiating guests. The reception was attended by more than 300 financial professionals and friends.

二十大報告指出，要加快構建新發展格局，著力推動高質量發展，深化金融體制改革，強化金融穩定保障體系。特首李家超在發言中指出，在國家的大力支持下，香港與內地金融市場的互聯互通不斷深化、擴大，期望金融服務界的朋友提出反映相關意見，集思廣益，為香港證券市場的未來發展出謀獻策。

As indicated from the report of the 20th National Congress, it is necessary to accelerate the construction of a new development pattern, promote high-quality development, deepen financial system reform, and strengthen the financial stability protection system. Mr John Lee, Chief Executive, expressed that the interconnection between Hong Kong and the mainland financial market has continued to deepen and expand with strong support from the State. We therefore hope that the financial services industry can provide useful suggestions for the future development of the Hong Kong securities market.

我則在發言中表示，國家在經濟、科技、文化等各方面發展已經達到一定成就，業界面對現時挑戰，必須走向多元化發展，團結愛國愛港力量；我亦談及近期的JPEX事件，呼籲公眾使用持牌機構及信譽良好的公司；有關投資理財問題，建議公眾找相熟的持牌人士徵求意見。

I indicated the fact that our country has made great strides and achievements in economic, technological, cultural, and other developmental aspects. In the face of current challenges, the industry must move towards diversified development and unite the forces that are conducive to the prosperity of our country and Hong Kong. I also spoke about the recent JPEX incident, and call upon the general public to resort to licensed institutions and reputable companies. For investment and financial management issues, we suggest that the general public seek advice from licensed individuals.

我也要感謝政府近期成立「促進股票市場流動性專責小組」，以全面檢視影響股票市場流動性的內外主要因素，並提出要通過短、中、長期措施，提升競爭力，加速發展。相信在業界與特區政府繼續攜手合作下，香港更加繁榮穩定、祖國會更加富強昌盛。

I would also like to express my appreciation to the government for the recent establishment of the "Task Force on Enhancing Stock Market Liquidity" to examine the primary internal and external factors that affect stock market liquidity, and propose short-, medium-, and long-term measures to enhance competitiveness and accelerate development. It is believed that Hong Kong will become more prosperous and stable, and the motherland will become stronger and more prosperous as the industry and SAR government continue to work together.



歡慶中秋

Sharing the joy of Mid-Autumn Festival



中秋來臨，感謝香港中華煤氣有限公司慷慨送贈一批愛心月餅，讓我可以向協康會上海總會康苗幼稚園、保良局金銀業貿易場張凝文等學校的小朋友們，及長者及有需要人士致送，與社會各界共同歡度中秋佳節。

I would like to express my appreciation to Hong Kong and China Gas Company Limited for providing mooncakes for the children of the Heep Hong Society Shanghai Fraternity Association Healthy Kids Kindergarten and PLK Gold & Silver Exchange Society Pershing Tsang School, as well as the elderly and others in need as we jointly celebrate the Mid-Autumn Festival.

月餅捐贈去向：

上海總會護理安老院、協康會上海總會油麗中心及康苗幼稚園、惜食堂、上海總會奮進助學金學生(中大)、內地本科生同學(中大)、匡智會鄰舍輔導會、新家園協會、麥當勞叔叔之家、慈恩學校、雨花齋、陳校長免費補習天地（祖堯邨）、保良局金銀小學、陳校長免費補習天地（大角咀）、生命樂章慈善基金

Places of moon cakes donation:

Shanghai Fraternity Association Care & Attention Home For The Elderly, the Heep Hong Society Shanghai Fraternity Association Yau Lai Centre and Healthy Kids Kindergarten, Food Angel, the Shanghai Fraternity Association Diligence Bursary (CUHK), Mainland Undergraduate Students (CUHK), the Hong Chi Association, The Neighborhood Advice-Action Council, the New Home Association, Ronald McDonald House Hong Kong, Chi Yun School, Rain Flower Home, Principal Chan Free Tutorial World (Cho Yiu Estate), Po Leung Kuk Gold & Silver Society Pershing Tsang School, Principal Chan Free Tutorial World (Tai Kok Tsui), and the Eternal Life Music Charity Foundation.

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