立法會議員 - 金融服務界
LegCo Member
Functional Constituency
Financial Services

2022 ANNUAL REPORT

ROBERT

LEE Wai-wang

李惟宏

2022 年度報告

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FOREWORD

2022

李惟宏議員
Hon Robert Lee Wai-wang

進入議會一年，我不忘選舉初心，牢記業界託付，會繼續紮實工作，積極團結同業，遇強愈強，共同開創更亮麗的前景。

It has been a year since my assumption of office at the Legislative Council (LegCo), and I remain true to my mission. I will continue to work tirelessly with different stakeholders for the betterment of our industry. Together, I look forward to tackling challenges ahead and creating a brighter future for our industry.

這是我就任立法會議員的首份年度報告，首先感謝金融服務業界的信任和支持，以及同業為我提供的大量寶貴意見。業界所表達的意見和訴求，我都積極向相關部門和監管機構反映，並已向特區政府呈交詳盡的意見書，很高興見到行政長官在《2022年施政報告》內採納了我的部分建議，其中包括與業界營運直接相關的措施，以及為整體行業創造利好環境的政策。

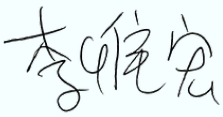
藉著這份年報，我希望回顧過去一年工作，闡釋我的議會辦事方針，並展望業界未來的機遇。

隨著疫情放緩，本港成功舉辦多個國際活動，展示了我們正逐步推動經濟回歸正常軌道。業界雖然仍然面對各項挑戰，但行政長官《2022年施政報告》中提出了一系列提升香港金融服務業競爭力的措施，相信有助業界重拾發展動能。

立法工作方面，最受業界關注的《2022年打擊洗錢及恐怖分子資金籌集(修訂)條例草案》已於2022年年底獲三讀通過，當中包括訂立虛擬資產服務提供者發牌制度，以及貴金屬及寶石交易商註冊制度。我會繼續向監管機構倡議加強投資者教育，減少專業投資者限制，並提升零售投資者及金融業界的參與，促進虛擬資產市場發展。同時，我亦會促請政府為貴金屬及寶石交易商訂定適宜政策，減少業界的負擔和對營運的影響。我會在其後章節進一步介紹其他議會工作。

展望未來，香港金融服務業仍有不少發展機遇，當中包括互聯互通機制將進一步深化；在港將研究推出人民幣股票交易櫃檯；上市改革將穩步推進，透過激活GEM增加中小企上市融資渠道；以及碳市場加快發展等等。政府亦應全力促進資本市場多元化，除了持續發展證券市場外，須積極開拓其他金融服務範疇，包括商品期貨、債券、貴金屬、企業融資、資產管理、虛擬資產，以及創新領域等，令到外資、中資、本地公司均能共同發展，並進一步豐富不同類型的金融產品及服務。

誠如習近平主席所言，「機遇和挑戰並存，機遇大於挑戰」，我作為業界在議會的代表，定必與同業一起克服挑戰，把握機遇尋求發展。



謹啟

I would like to begin my first annual report by thanking the financial services industry for your trust and support, as well as my peers for engaging in many fruitful exchanges with me throughout the past year. In addition to bringing your opinions and feedback to the relevant departments and regulatory bodies, I have also submitted a detailed report to the Hong Kong SAR (HKSAR) Government on behalf of my functional constituency. I am glad to see many of my suggestions being adopted by the Chief Executive in his 2022 Policy Address, including some industry-related measures and policies conducive to a more favorable operating environment for us.

Let us take a few moments to reflect on the past year, the highs and lows, and importantly, the principles that I hold dear as I work with our industry to seek development opportunities.

Hong Kong has successfully hosted a number of international events as the pandemic eases, demonstrating our economy's gradual return to normalcy. Although our industry is still faced with various challenges, I believe the measures put forward in the Chief Executive's 2022 Policy Address will help us regain momentum.

In respect of lawmaking, I wish to highlight that the Anti-Money Laundering and Counter-Terrorist Financing (Amendment) Bill 2022 was passed by the end of 2022 after it was given three readings, introducing a new licensing regime for virtual asset service providers (VASP) and a registration regime for dealers in precious metals and stones (DPMS). Mindful of our industry's concerns, I will continue to strive for the strengthening of investor education, and the loosening of "professional investors only" restrictions to enable increased participation of retail investors and the financial services industry. I believe this will help promote the virtual asset market as a whole. In light of the burden on DPMS, I will urge the Government to formulate appropriate policies for the sector. My other work at LegCo will be covered in the next few chapters.

With the furtherance of the Connect Scheme, HKD/RMB dual counter trading, listing reform, revitalization of GEM, and development of carbon markets underway, we see many new opportunities going forward for the financial services industry. The Government should also make every effort to promote the diversification of our capital markets. In addition to the continuous development of the securities market, it must actively develop other areas of the financial services sector, including commodity futures, bonds, precious metals, corporate finance, asset management, virtual assets and innovation fields. As a result, international, mainland Chinese and local companies can share the same opportunity to contribute to the industry development, in which further enrichment of financial products and services is needed.

As President Xi Jinping said, "while there are both opportunities and challenges, our opportunities outnumber challenges". Together with industry peers, I pledge my full effort to face the challenges and seek new opportunities.

國家支持香港開創新局

OUR COUNTRY'S Support for a New Chapter for Hong Kong

- 中央以國家和香港的根本及長遠利益為出發點和落腳點，支持香港富強飛躍
- 維護「一國」原則，彰顯「兩制」優勢
- 落實「愛國者治港」，守護香港的管治權和繁榮穩定
- 「民有所呼，我有所應」，讓發展成果公平惠及市民
- 呼應「青年興，則香港興」，助青年解決「四業」困難
- 香港正從「由亂到治」走向「由治及興」，未來5年是關鍵期

- The Central Government, based on our country and Hong Kong's fundamental and long-term interests, supports a strong Hong Kong
- Uphold the "one country" principle to unleash greater strength from the "two systems"
- Put the governing power in patriots' hands to safeguard Hong Kong's prosperity and stability
- Live up to people's aspirations, and make sure that all citizens share more fully and fairly in the fruits of development
- "Hong Kong will prosper only when its young people thrive"; we must help young people overcome difficulties in education, employment, entrepreneurship and home ownership
- The next five years are important for Hong Kong to break new ground as we move forward from "chaos to order" to enter a new phase of prosperity

香港 經濟迎難而上
HONG KONG
Raising Above Challenges

- 2022年香港面對環球加息、高通脹、地緣局勢緊張等多重挑戰，我們繼續發揮堅韌精神，並在「一國兩制」下迎來新機遇
- 把握背靠祖國、聯通世界的獨特優勢，鞏固香港國際金融中心地位
- 積極加強金融服務業的競爭力，推動行業多元化發展
- 協助香港走出疫情，讓經濟復甦、民生回復正常，推動香港再創高峰

- Despite facing multiple challenges in 2022 including global interest rate hikes, high inflation and geopolitical tensions, we will continue to show our resilience and embrace new opportunities under the "one country, two systems"
- Enjoying the strong support of the motherland and connecting with the world, Hong Kong will continue to strengthen its position as an international financial center
- Proactively strengthen the competitiveness of the financial services sector and promote the industry's diversified development
- Helping Hong Kong in coming out of the epidemic, paving the way for the economic recovery and return to normality in order to reach for new heights

金融服務業建構 未來
Driving the Financial Services Industry's FUTURE

- 歡迎政府發表有關虛擬資產在港發展的政策宣言，規範虛擬資產交易，為證券業開創新的商機
- 支持跨境理財通進一步發展，並推動政策，擴闊投資產品範圍，讓非銀行金融機構能參與其中
- 繼續爭取降低股票印花稅，提高股市流動性
- 建議提高本港吸引力，重推投資移民計劃，並利用發展機遇和優勢吸納人才和資金
- 鼓勵業界可利用政府「拍住上」資助計劃，加快應用金融科技及監管科技

- Welcome the issuance of the policy statement on the Development of Virtual Assets in Hong Kong which sets out the Government's policy stance towards providing a facilitating environment for the virtual asset sector, thus opening up new opportunities for our industry
- Support the expansion of the Cross-boundary Wealth Management Connect Scheme (Connect Scheme), and will drive policy change to broaden the scope of products to enable participation of non-banking financial institutions
- Continue to strive for lower stamp duty on stock transactions, thereby boosting the market liquidity
- Advocate to enhance Hong Kong's attractiveness for talents and capital through our development opportunities and distinctive advantages, supplemented by relaunch of investment entrant schemes
- Urge the industry to take advantage of the "PoC Subsidy Scheme" to expedite FinTech and RegTech applications

焦點工作 HIGHLIGHTS

保就業計劃 EMPLOYMENT SUPPORT SCHEME

建議 RECOMMENDATIONS

- 致函予財政司司長，闡述業界經營困難，並提議保就業計劃應涵蓋金融服務企業
- 會見行政長官和其他主要官員，促請為業內提供財務支援，避免出現券商結業潮
- Proposed in a written submission to the Financial Secretary that the Employment Support Scheme (ESS) should cover SME financial institutions
- Held meetings with the Chief Executive and key officials, and urged Government to provide financial support for brokerages to avoid a wave of closures

進展 PROGRESS MADE

- 成功爭取金融服務界納入保就業計劃資助範圍
- 券商補貼僱員最多100名
- Successfully secured the inclusion of the financial services sector in ESS
- Brokerages can apply for a maximum of 100 subsidised headcounts

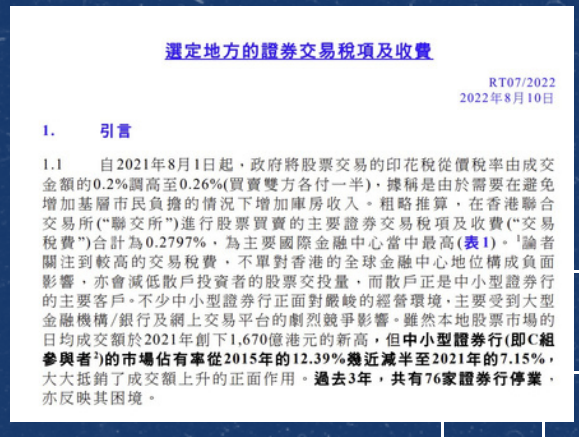
股票印花稅 / 其他交易徵費 STOCK STAMP DUTY/ OTHER TRANSACTION FEES

- 委託立法會秘書處進行獨立研究：香港股票交易稅費徵收率約為0.28%，較倫敦、紐約、上海和新加坡等4個主要國際金融中心為高
- 要求政府調低股票印花稅，以增強股票市場的流動性及競爭力
- 多次向交易所爭取降低交易徵費
- Commissioned an independent study on securities transaction taxes and fees (STTFs) by the LegCo Secretariat. Key findings: at a rate of 0.28%, Hong Kong's STTFs are higher than those of London, New York, Shanghai and Singapore
- Requested the Government to lower the stock stamp duty to enhance the liquidity and competitiveness of the stock market
- Made multiple requests to HKEX for the lowering of transaction fees

- 港交所優化股票交易費結構：
  1. 取消每宗0.5元交易系統使用費
  2. 減低整體交易成本
  3. 惠及零售投資者和中小券商
- HKEX's enhancement of trading fee structure:
  1. Fixed trading tariff of $0.5 to be removed
  2. Reduce overall trading cost
  3. Beneficial to retail investors and SME brokerages

中文版研究報告：

Research report (Eng):



選定地方的證券交易稅項及收費

RT07/2022
2022年8月10日

1. 引言

1.1 自2021年8月1日起，政府將股票交易的印花稅從價稅率由成交金額的0.2%調高至0.26%(買賣雙方各付一半)，據稱是由於需要在避免增加基層市民負擔的情況下增加庫房收入。粗略推算，在香港聯合交易所("聯交所")進行股票買賣的主要證券交易稅項及收費("交易稅費")合計為0.2797%，為主要國際金融中心當中最高(表1)。[1]論者關注到較高的交易稅費，不單對香港的全球金融中心地位構成負面影響，亦會減低散戶投資者的股票交投量，而散戶正是中小型證券行的主要客戶。不少中小型證券行正面對嚴峻的經營環境，主要受到大型金融機構/銀行及網上交易平台的劇烈競爭影響。雖然本地股票市場的日均成交額於2021年創下1,670億港元的新高，**但中小型證券行(即C組參與者[2])的市場佔有率從2015年的12.39%幾近減半至2021年的7.15%**，大大抵銷了成交額上升的正面作用。**過去3年，共有76家證券行停業**，亦反映其困境。

虛擬資產發牌規管
LICENSING REGIME FOR VIRTUAL ASSETS

建議 *RECOMMENDATIONS*

- 在《2022年打擊洗錢及恐怖分子資金籌集(修訂)條例草案》中當選為委員會副主席

  1. 減少專業投資者限制，不應局限投資者的選擇

  2. 提倡加強對零售投資者的投資教育工作

  3. 提高金融服務業對虛擬資產市場的參與度

- Elected as Deputy Chairman of the Bills Committee on Anti-Money Laundering and Counter-Terrorist Financing (Amendment) Bill 2022

  1. Urged the authorities to reduce the "professional investors only" restrictions that limit choices of investors

  2. Proposed to enhance investment education for retail investors

  3. Increase participation of the financial services industry in the virtual asset market

進展 *PROGRESS MADE*

- 政府及證監會態度轉趨開放：

  1. 將展開公眾諮詢，探討允許散戶買賣虛擬資產

  2. 正研究在港引入虛擬資產交易所買賣基金

  3. 推動更多證券型代幣發行

  4. 推動金融創新的試驗計劃，包括發行非同質化代幣、債券代幣及數碼港元

  5. 《草案》獲三讀通過，將由2023年4月1日起實施；而有關規管經營虛擬資產服務提供者的條文則由2023年6月1日起實施

- The Government and SFC have become more open in terms of:

  1. Undertaking a public consultation to explore the feasibility of retail access to VA products

  2. Looking to introduce VA futures ETFs to Hong Kong

  3. Driving the development of security token offerings (STOs)

  4. Supporting pilot programs in financial innovation, including NFT, debt tokens and e-HKD

  5. The Bill was passed after three readings, and will come into operation on 1 April 2023; while regulations concerning virtual asset service providers (VASPs) will come into effect on 1 June 2023

貴金屬及寶石註冊制度
REGISTRATION REGIME FOR DEALERS IN PRECIOUS METALS AND STONES

建議 RECOMMENDATIONS

- 在《2022年打擊洗錢及恐怖分子資金籌集(修訂)條例草案》中當選為法案委員會副主席
- 向香港海關提出貴金屬及寶石交易商註冊制度的關注要點，例如：註冊收費、審批和金融機構附屬的豁免定義等問題
- 積極與業界保持協作溝通
- Elected as Deputy Chairman of the Bills Committee on Anti-Money Laundering and Counter-Terrorist Financing (Amendment) Bill 2022
- Expressed concerns to Customs and Excise Department (C&ED over the registration regime for dealers in precious metals and stones (DPMS): registration fees, approval and exemption definition for subsidiaries of financial institutions
- Engaged in active collaboration and communication with the industry

進展 PROGRESS MADE

- 海關監管傾向寬鬆：

  1. 修改A類註冊的規管門檻，以減少中小商戶的負擔及影響

  2. 適度控制人手開支，避免註冊收費大幅增加

  3. 將提供清晰的客戶審查指引

  4. 《草案》獲三讀通過，將由2023年4月1日起實施，亦會作出過渡安排

- C&ED is inclined to opt for more relaxed regulatory control:

  1. Regulatory threshold revised for Category A registrants to reduce the burden and impact on SMEs

  2. Appropriate control over manpower expenses to avoid registration fee increases

  3. A clearer guide to customer due diligence to be provided

  4. The Bill was passed after it was given three readings, and will come into operation on 1 April 2023 with provisions for transitional arrangements

施政報告 POLICY ADDRESS

建議 RECOMMENDATIONS

匯集業界意見，向特首遞交《施政報告》意見書要點：

1. 加強培育及吸引金融人才
2. 提升股市流動性
3. 進一步深化互聯互通
4. 推進上市改革
5. 推動資本市場多元化
6. 加快綠色金融發展
7. 提升監管透明度及業界溝通

Consolidated industry views for submission to the Chief Executive in respect of the Policy Address:

1. Nurture and attract financial talents
2. Enhance market liquidity
3. Deepen financial links with the mainland
4. Move forward on listing reform
5. Promote diversity in capital markets
6. Expedite development of green finance
7. Improve regulatory transparency and communication with the industry

進展 PROGRESS MADE

不少建議獲納入施政報告中，包括：

1. 擬修改上市規則，活化GEM
2. 加強互聯互通
3. 發展綠色和可持續金融
4. 強化資產和風險管理
5. 培育金融科技人才
6. 強化離岸人民幣業務中心

A number of recommendations were included in the Policy Address, including:

1. Plans underway to amend the Listing Rules with a view to revitalizing GEM
2. Expand two-way financial links
3. Develop green and sustainable finance
4. Strengthen position as asset and risk management center
5. Nurture FinTech talents
6. Strengthen position as offshore RMB business hub

議會工作COUNCIL WORK

會議出席率及發言
ATTENDANCE AND SPEECHES AT MEETINGS

2022

會議參與次數
NUMBER OF MEETINGS
140

議會發言次數
NUMBER OF SPEECHES
>50

李惟宏議員主要發言
KEY SPEECHES OF HON ROBERT LEE WAI-WANG

立法會會議
COUNCIL MEETINGS

97% 出席率 ATTENDANCE

- 書面質詢跨境理財通實施進展、時間表及參與機構等狀況
- 促請當局繼續擴大強積金的可投資範圍，提升業界參與度
- 促進政府重視金融服務業多元化發展、優化現行監管體制
- 表達業界經營仍面對困難，提出優化強積金、支援中小企等建議

- Written question on implementation of the Connect Scheme
- Expansion of MPF investment scope and thus industry participation
- Promotion of diversified development of financial industry, and enhancement of regulatory regime
- Enhancement of MPF system and financial support to aid Small and Medium Enterprises

財務委員會
FINANCE COMMITTEE

出席率 ATTENDANCE 96%

- 詢問當局會否調低股票印花稅及設立檢討機制；會否幫助中小企減省監管及IT成本
- 查詢推動業界參與跨境理財通更具體計劃及債券零售化實施細則

- Review and reduction of stock stamp duty; helping Small and Medium Enterprises to save regulatory and IT costs
- Enquiry on implementation of Connect Scheme and retail participation in bonds

人事編制小組委員會
ESTABLISHMENT SUBCOMMITTEE

100% 出席率 ATTENDANCE

- 認同環境局與環保署的架構重組及有關人事需要
- 建議積極發展綠色金融，推動跨部門協調合作

- Acknowledgment of the need for reorganisation of Environment and Ecology Bureau (EEB) and Environmental Protection
- Department (EPD)
  Inter-departmental coordination to drive development of green finance

備註 Remark*
11月18日至11月27日期間因接受COVID-19 檢疫而缺席會議。
Absence from meetings from 18 to 27 November on account of being placed under COVID-19 quarantine.

經濟發展事務委員會
PANEL ON ECONOMIC DEVELOPMENT

- 建議政府善用香港資本市場，尤其是發行債券以推動經濟發展
- 詢問當局對於推廣金融服務業投資、對沖、融資等領域有何計劃

- Bond issuance recommended to drive economic development
- Inquiry on plans of promoting our industry's investment, hedging and financing services

出席率 ATTENDANCE 100%

促進粵港澳大灣區發展事宜小組委員會
SUBCOMMITTEE ON PROMOTING DEVELOPMENT OF THE GUANGDONG-HONG KONG-MACAO GREATER BAY AREA

- 建議讓業界更廣泛參與跨境理財通 / 擴闊相關投資產品種類
- 詢問跨境理財通監管對接工作最新進展；促請政府制定政策時避免傾斜

- More extensive industry participation in Connect Scheme, expansion of product scope
- Regulatory collaboration of Connect Scheme; favoritism to be avoided

80% 出席率 ATTENDANCE

財經事務委員會
PANEL ON FINANCIAL AFFAIRS

- 促請政府考慮調低股票印花稅，擴闊跨境理財通產品種類、提高業界參與度；反洗錢立法更應專注虛擬資產市場發展
- 詢問當局如何從政策角度協助中小金融服務機構升級轉型

- Lowering of stock stamp duty and expansion of Connect Scheme's scope; focus on virtual asset market
- Policy support for upgrade of small and medium financial services companies

出席率 ATTENDANCE 100%

工商及創新科技事務委員會會議
PANEL ON COMMERCE, INDUSTRY, INNOVATION AND TECHNOLOGY

100% 出席率 ATTENDANCE

- 向行政長官反映中小企申請科技券技術方面困難；詢問科技券批核上訴機制及改進事宜
- 促請設立跨部門統籌及查詢部門，提供針對中小型金融服務機構的支援措施

- Technical difficulties faced by small and medium enterprises in Technology Voucher applications
- Inter-departmental effort to support small and medium financial services players

內務委員會
COUNCIL MEETINGS

出席率 ATTENDANCE 96%

《2022年稅務(修訂)(指明外地收入徵稅)條例草案》委員會
BILLS COMMITTEE ON INLAND REVENUE (AMENDMENT) (TAXATION ON SPECIFIED FOREIGN-SOURCED INCOME) BILL 2022 (AGENDA) 15 NOVEMBER 2022

100% 出席率 ATTENDANCE

- 訂立虛擬資產服務提供者發牌制度時，應加強投資者教育，而非設立專業投資者限制；關注貴金屬及寶石交易商註冊制度對業界造成的監管壓力與成本
- 詢問海關對外接觸、業界準備程度；以及證監會如何從監管角度保障零售投資者

- Strengthening investor education instead of setting "professional investors only" restriction for virtual asset; concern over financial burden on DPMS
- C&ED's preparedness; SFC's policy protection for retail investors

《2022年打擊洗錢及恐怖分子資金籌集(修訂)條例草案》委員會
BILLS COMMITTEE ON ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING (AMENDMENT) BILL 2022

出席率 ATTENDANCE 100%

環境事務委員會
PANEL ON ENVIRONMENTAL AFFAIRS

82% 出席率 ATTENDANCE

- 離島廢物轉運設施，與北大嶼山廢物轉運站翻新及改善工程是否合乎成本效益
- 環境科有何計劃及績效指標，加強部門間統籌，以推進綠色融資和碳市場發展

- Cost efficiency of Outlying Islands Transfer Facilities and improvement works for North Lantau Transfer Station
- Plans and KPIs of Environment Branch; development of green finance and carbon markets

進言廣達
ADVICE ON BILLS AND POLICIES (ACHIEVEMENTS & PROGRESS)

強積金對沖
OFFSETTING ARRANGEMENT UNDER MPF

6月8日：立法會恢復二讀辯論《2022年僱傭及退休計劃法例(抵銷安排)(修訂)條例草案》，討論聚焦於取消遣散費 / 長期服務金的對沖安排。我在會議上的發言提到：

- 條例將有助加強僱員退休保障，但同時促請政府體諒僱主，關注中小企在疫情下的經營困境，建議政府提高企業支援

6月9日：修訂條例三讀通過，預計最快於2025年實施

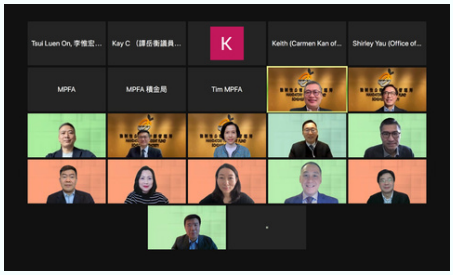
- 基於保障僱員，及考慮到政府作出332億元的財政承擔，聚焦支援中小微企，我為取消對沖安排投下贊成票

8 June: LegCo resumed the debate on the second reading of "Employment and Retirement Schemes Legislation (Offsetting Arrangement) (Amendment) Bill 2022". The discussion focused on abolishing the offsetting arrangement for severance/ long service payments. I spoke at the meeting:

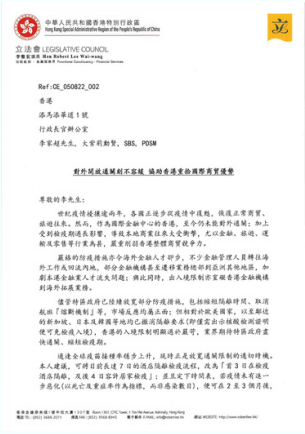
- While the Ordinance will help strengthen employees' retirement protection, the Government should also consider the burden on employers and the difficulties faced by SMEs under the epidemic. I urged the Government to provide support for enterprises

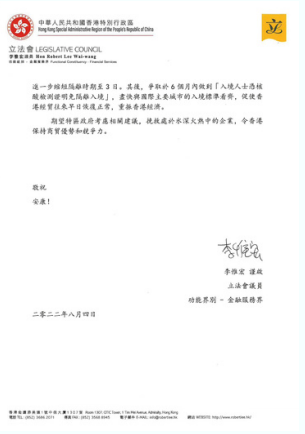
9 June: The amendment to the Ordinance was passed in the third reading, and is expected to come into operation as early as 2025

- With consideration given to the protection of employees, and the Government's financial commitment of $33.2 billion to support SMEs and micro businesses, I voted in favor of abolishing the MPF offsetting arrangement



爭取放寬通關限制
STRIVING FOR RELAXATION OF INBOUND QUARANTINE REQUIREMENTS





8月5日：致函行政長官，建議將抵港人士7日酒店隔離檢疫流程，改為「3+4」，並逐步放寬為「0+3」

- 8月8日起：政府實施「3+4」入境檢疫模式
- 8月26日起：政府進一步放寬檢疫安排至「0+3」

5 August: Wrote to the Chief Executive and urged the Government to relax the quarantine arrangement for inbound persons from 7-day hotel isolation to "3+4", and step by step further relax to "0+3"

- 8 August onwards: Government implemented the "3+4" inbound quarantine arrangement
- 26 August onwards: Government further relaxed the arrangement to "0+3"

連繫政商業界
CONNECTING WITH POLITICAL AND INDUSTRY REPRESENTATIVES

貫徹七一、二十大精神
愛國愛港

3/1/2022
在國徽下宣誓就任香港特別行政區第七屆立法會議員。
Sworn in as a member of the seventh-term LegCo in an oath-taking ceremony with the display of the national emblem.

PATRIOTIC EVENTS TO PROMOTE THE SPIRIT OF 1 JULY KEYNOTE SPEECH AND 20TH NATIONAL CONGRESS

6/5
出席第六屆行政長官選舉候選人李家超「我和我們同開新篇」見面會。
Attended the sixth-term Chief Executive candidate John Lee's "We and Us, A New Chapter Together" campaign event.

7/6
拜訪港區省級政協委員聯誼會，與各省政協領導、會長互相交流。
Met the HKCPPCC (Provincial) Members Association, CPPCC members and presidents of the committees.

1/7
出席慶祝香港特別行政區成立二十五周年升旗儀式，及第六屆特區政府就職典禮。
Participated in the flag-raising ceremony to celebrate the 25th anniversary of the HKSAR's establishment and the inaugural ceremony of the sixth-term SAR Government.

26/7
出席金融業界及不同場合「學習貫徹習近平主席『七一』重要講話精神座談會」。
Attended sessions on "Spirit of the President's Important Speech" for the financial sector and on different occasions.

26/9
領導及組織業界協會和選委合辦金融服務界「慶祝國慶73周年暨香港特區回歸25周年」慶典活動。
Organized an event to celebrate the "73rd Anniversary of the Founding of the People's Republic of China (PRC) and the 25th Anniversary of the HKSAR's Establishment" by mobilizing the joint resources of financial services associations and Election Committee members.

1/10
參與中華人民共和國成立73周年升旗儀式暨國慶酒會。
Participated in the flag-raising ceremony and reception to celebrate the 73rd anniversary of the founding of the PRC.

22/11
組織立法會補選候選人和金融及金融服務界選委會面，交流政綱。
Organize meetings between the candidates for the Legislative Council by-election and the election committee of the financial and financial services sector to exchange political platforms.

3/12
出席中國共產黨第二十次全國代表大會精神宣講會，宣講會由特區政府邀請中央宣講團來港講述。
Took part in seminar on promotion of the spirit of the 20th National Congress of CPC, delivered by a delegation of the Standing Committee of the National People's Congress (NPC).

4/12
出席2022年國家憲法日「憲法與新時代新征程」座談會。
Participated in the "Constitution and New Journey of the New Era" seminar on Constitution Day.

15/12
參與香港第十四屆全國人民代表大會代表選舉會議，投票選出新一屆港區人大代表。
Participated and voted in the election for the 14th term of Hong Kong delegates to the NPC.

18/12
參與2022年立法會選舉委員會界別補選投票。
Participated in the 2022 LegCo Election Committee Constituency By-election.

綫上綫下
保持業界交流

12/12/2022
聯同財庫局主辦金融服務界交流會並主持會議，與業界就香港金融市場發展共謀方向。
Organised an exchange session jointly with Financial Services and the Treasury Bureau, and hosted a discussion session on the direction for the future development of Hong Kong's financial market.

Like Comment Share

ONLINE & FACE-TO-FACE COMMUNICATION WITH INDUSTRY PEERS

2月 February

聽取業界對於「全民檢測」看法，並向財政司表達業界對「停市」、「禁足」等憂慮，獲保證不會停市。

Sought industry views on “Compulsory Universal Testing” and reflected concerns to the Financial Secretary over “trading suspension” and “lockdown”; received assurance that trading suspension would not be considered.

10/2

與証券商協會視像拜年，探討疫情下中小券商及經紀經營情況等議題。

Paid a New Year’s visit with the Institute of Securities Dealers via zoom, discussing issues about business situation of micro and small-sized securities companies and brokers enterprises amid pandemics.

11/2

參與香港中資基金業協會新年團拜活動。

Attended Chinese New Year Gathering of Chinese Asset Management Association of Hong Kong.

29/4

積極會見香港中資期貨業協會代表，商討反洗錢、期貨、監管等議題。

Met the Chinese Futures Association of Hong Kong to discuss issues about anti-money laundering, futures and regulatory.

6/6

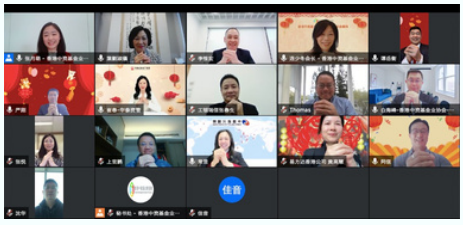
會晤證券公司和律師代表，了解本地券商申請牌照的困境。

Met brokerages and legal counsels to discuss the challenges in respect of license applications.

11/7

主動與證監會及業界代表討論反洗錢、港股實名制等監管議題。

Initiated a discussion session with SFC to enable industry representatives to reflect their views on regulatory issues, such as anti-money laundering and investor identification.



3/8

組織財庫局許正宇局長與選委交流，重申降低印花稅、擴闊跨境理財通等主張。

Organized an online session with Christopher Hui, Secretary for Financial Services and the Treasury, during which issues including the reduction of stock stamp duty and broadening of the Connect Scheme were discussed.

11/8

參與香港證券及期貨專業總會「金融從業員金融科技培訓計劃」啟動儀式。

Participated in the launch ceremony of the Hong Kong Securities and Futures Professionals Association’s “Financial Practitioners FinTech Training Program”.

5/9

組織與港交所上市科網上會議，與金融業界代表交換意見。

Organized an online meeting with HKEX’s Listing Division to enable exchange of views between the financial sector and the regulator.

14/9

主動會見香港網上經紀協會，商討股票交易成本、ETF銷售佣金制及監管等議題。

Met the HK Association of Online Brokers to discuss stock transaction costs and commissions on ETF trades, among other issues.

25/10

出席香港股票分析師協會21周年誌慶及上市公司年度大獎頒獎活動。

Attended the Hong Kong Insitute of Financial Analysts and professional Commentators Limited 21st Anniversary and outstanding listed companies award 2022.

7/11

主動會見香港證券學會代表，討論交易成本、虛擬資產等議題。

Met Hong Kong Securities Professionals Association to discuss issues about transaction costs and virtual asset.

8/11

領導組織香港證券業協會43周年活動，並首次舉辦及主持大型金融科技論壇。

Attended the 43rd anniversary celebration of Hong Kong Securities Association (HKSA) cum FinTech forum.

成立地區辦事處、連結基層
SETTING UP OF DISTRICT OFFICE AND CARING FOR COMMUNITY

2/8
探訪馬頭圍劏房住戶，派發防疫和生活物資。
Visited residents of subdivided flats in Ma Tau Wai and shared with them epidemic and other supplies.

1/9
組織拜訪鄰舍輔導會上海總會護理安老院，向院友分發月餅，共慶中秋。
Organized a visit to the Shanghai Fraternity Association Care & Attention Home for the Elderly under the Neighborhood Advice-Action Council, and shared moon cakes with the seniors.

8/9
到訪協康會上海總會油麗中心，致送月餅予家長及兒童；並了解有特殊需要兒童接受訓練情況。
Visited Shanghai Fraternity Association Yau Lai Centre, brought moon cakes for parents and children, and observed the training for SEN children.

1/11
與譚岳衡議員在上環設立聯合地區辦事處，接觸市民，以聆聽業界和大眾訴求。
Opened district office in Sheung Wan jointly with Dr Hon Tan Yueheng to facilitate exchanges with citizens and the industry.

5/12
與譚岳衡議員、灣仔區議會、香港警務處等合作，為基層市民舉辦「拆解騙徒伎倆」防騙講座，獲得熱烈響應。
Organized an anti-deception seminar for citizens, jointly with Dr Hon Tan Yueheng, Wanchai District Council and the Hong Kong Police Force. The session received an overwhelming response.

同心抗疫、共渡難關
ANTI-EPIDEMIC EFFORTS

1/3
在疫情高峰時，透過香港證券業協會籌得逾10萬元，以向1,300名協會會員派發快速測試套裝。
Raised more than $100,000 through HKSA to fund the distribution of RAT kits to 1,300 members at the height of the epidemic.

21/3
主動與青島市港澳創新經濟促進會舉行會議，商討捐贈醫療物資事宜。
Initiated a meeting with Qingdao Hong Kong and Macau Association for Innovative Economic Promotion to discuss donations of healthcare supplies.

21/3
組織家族公司成員，向不同基層組織，包括粉嶺居民協會捐贈2,000個快速抗原測試包。
Solicited the support of family member companies to donate 2,000 RAT kits to grassroots organizations, including the Fanling Residents Association.

30/3
參與防疫義工活動，負責包裝和派發防疫包，為市民提供適切支援。
Undertook volunteer service and helped pack anti-epidemic service bags.

7/4
參與民政事務局義工活動，負責包裝和派發防疫包，為中上環基層市民提供適切支援。
Took part in a volunteering activity organized by the Home Affairs Bureau during which anti-epidemic bags were distributed to citizens in Central and Sheung Wan.

26/10
響應政府呼籲，接種流感及第四劑新冠疫苗。
Received flu and fourth dose of COVID-19 vaccines in support of Government's call.



關心青年發展、培育金融人才
YOUTH DEVELOPMENT

19/7
出席香港中華總商會青年委員會「慶祝香港特別行政區成立二十五周年」交流會，並擔任專題演講嘉賓。
Delivered speech at the “25th Anniversary of HKSAR” celebration and sharing session hosted by the Chinese General Chamber of Commerce (CGCC).

8/10
參與「職場生涯規劃—金融業行業講座」並致辭，與來自葵涌蘇浙公學的青少年分享金融證券業的前景和機遇。
Spoke to students of Kiangsu-Chekiang College (Kwai Chung) at a career planning seminar, with focus on the financial industry.

12/11
出席「香港傑出大學生財務策劃師比賽2022(決賽)暨頒獎典禮」，並擔任演講及頒獎嘉賓。
Attended as a speaker and award presenter at the “Hong Kong Undergraduate Financial Planners of the Year Award 2022”.

15/11
出席「第七屆聯大投資比賽」頒獎典禮，向香港、澳門及內地優勝大專學生頒獎。
Presented awards to the winners (from Hong Kong, Macau and Mainland) of the “7th Joint University Investment Game”.

拓展國際聯繫
INTERNATIONAL CONNECTIONS

13/5
以香港中華總商會外事委員會副主席及議員身份，會見香港英商會代表。
Met the British Chamber of Commerce both in the capacity of the Vice Chairman of CBCC’s External Affairs Committee and as a LegCo member.

8/7
參與香港中華總商會舉辦的「東盟 x 大灣區發展機遇」專題活動。
Took part in the CGCC’s “ASEAN x GBA” seminar.

17/8
參與「香港高峰論壇 2022」，探討海內外區域合作新機遇。
Participated in “Hong Kong Summit 2022” where emerging opportunities in a new paradigm of regional cooperation were explored.

3/11
參與國際金融領袖投資峰會「與國際投資者對話」研討會。
Participated in the “Conversations with Global Investors” seminar at the Global Financial Leaders’ Investment Summit.

「宏」觀論政
COUNCILOR'S POINT OF VIEW

南華早報
South China Morning Post

2/2 呼籲小型券商可善用科技和拓展多元業務，以抓緊大灣區發展機遇。
Tech and diversification, as well as opportunities in GBA can help small brokers grow.

明報
Ming Pao

1/4 擔憂本港久未通關，使金融服務界人才流失，亦令商界難到國內外商談併購。
The prolonged border closure has caused a brain drain for the financial services sector, and made it challenging for overseas negotiations of mergers and acquisitions.

經濟日報
Hong Kong Economic Times

10/6 重申香港仍未通關，令正常商業行為未能全面進行，關注如何讓中小企有生存空間。
Reiterates concern for the survival of SMEs as business activities have yet to resume normal before the reopening of borders.

大公報
Ta Kung Pao

20/6 分期望互聯互通機制可考慮納入新股、期貨、商品合約等產品，助內地投資者管理風險。
Expresses hope that IPOs, futures and commodities can be included in the Connect Scheme to enable Mainland investors to manage risks.

無綫新聞
TVB News

19/2 主張調低股票印花稅為首要處理議題之一。
The lowering of stock stamp duty is a top priority issue to be addressed.

彭博新聞社
Bloomberg

19/5 評價候任特首李家超持開放態度納才；另指香港通關問題迫在眉睫。
Commented that the incoming Chief Executive John Lee is open to having people that can really help him drive the financial industry's development; and that Hong Kong needs to open up as much as possible

28/9 談及香港近期放寬入境限制措施，對金融服務界未來發展持樂觀態度。
Discusses the easing of inbound restrictions, and expresses optimistic outlook for the development of the financial services industry.

ViuTV

22/6 分享有關本港金融服務界政策的看法，以及對行業發展的期望。
Shares his views on Hong Kong's financial services policies, and his expectations for the industry's development.

上海電視台
Shanghai Television

23/7 談及愛國愛港家族精神及中港的合作發展機遇。
Talks about his family's patriotism and love for Hong Kong, as well as the collaboration opportunities for Hong Kong and the Mainland.

文匯報
Wen Wei Po

16/9 實施港區《國安法》有助本地經濟活動恢復正常。
The implementation of the Hong Kong version of National Security Law helps local economic activities return to normal.

信報
Hong Kong Economic Journal

3/10 重述探討人民幣計價證券是大勢所趨，人民幣對希望組合多元化的投資者仍具吸引力。
Reiterates his view that the development of RMB-denominated securities is in line with current trends, and that RMB remains appealing to investors seeking a more diversified portfolio.

hkO1

20/10 針對GEM成交疏落問題，建議參考雙幣櫃台免除莊家股票印花稅措施，提高市場誘因。
In light of the lack of liquidity in GEM, and making reference to the stamp duty exemption for market makers of dual-currency counters, it is recommended that similar measures be adopted to create favourable market conditions.

香港電台
RTHK

29/11 簡述從商到政的個人經歷，並分析業界挑戰與機遇，以及政策展望。
Shares his personal experience in the business sector and as a LegCo member, and his views of the industry's ongoing challenges and opportunities, as well as his expectations of related policies.

財華社
Finet

19/9 認為業界可利用科技券轉型升級，擴大生存空間。
Expresses that the industry can make use of the Technology Vouchers to seek an upgrade and a broader business scope.

星島日報
Sing Tao Daily

17/10 期望政府在適當時機調低證券交易成本。
Holds out hope that the Government will consider reducing the cost of securities transactions at an appropriate time.

鳳凰衛視
Phoenix TV

27/10 强調對活化創業板改革應持開放態度，並對香港金融市場的中長期表現有信心。
Maintains an open view on the revitalisation of GEM, and remains confident in the mid-to-long term performance of Hong Kong financial markets.

Now TV

7/12 闡析FTX事件正體現本港合理監管虛擬資產的重要性。
Explains how the FTX incident reflects the importance of appropriate regulation of VA in Hong Kong.

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